



Horizon 2020

Submission and Evaluation of Proposals

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European Commission
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Building on past experience....

- *FP7 expert questionnaire*

	2007	2008	2009	2010	2011	2012
Experts invited to participate	3.630	3.492	4.612	3.972	3.409	6.728
Responses received	2.281	1.682	2.373	1.744	1.926	2.282
Respondents finding the quality of the evaluation overall <i>satisfactory to excellent</i> (%)	96,1	97,6	97,6	97,4	98,2	98,4
Respondents rating the quality of the evaluation overall <i>excellent</i> (%)	22,1	26,5	29	28,8	27,1	33
Respondents, having previously evaluated research proposals for national or international research funding schemes, finding the EU evaluation process <i>better or much better</i> (%)	52,6	61,3	61,0	60,8	63,9	65,7



European
Commission

Logistics

- Made available for evaluation of proposals for FP7 + associated programmes and will continue for H2020 and additional programmes
- Capacity to host
 - 550 experts per day
 - 389 individual work stations
 - 26 secretariats
 - 9 auditoriums
 - 115 meeting rooms
 - Video conference facilities
- Help-desk located here
- 10,872 experts hosted in 2012



...but adapting to Horizon 2020

- New types of call; new types of proposal
 - multi-disciplinary and multi-sectoral; more emphasis on innovation and close-to-market;
- Simplification, for applicants, experts, and for streamlined operations;
- Coherence across the programme



RESEARCH & INNOVATION

Participant Portal

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Welcome to the Research and Innovation Participant Portal

About the Participant Portal:

The Participant Portal is your entry point for the electronic administration of EU-funded research and innovation projects. It hosts services for managing proposals and projects throughout their lifecycle.

The Participant Portal supports activities funded mainly by the following EU programmes:

- **7th Framework Programme for Research and Technological Development (FP7)**
- **Competiveness and Innovation Framework Programme (CIP)**

Using the Participant Portal:

As a guest user, browse the public pages to:

- search for funding opportunities
- download guidance and legal documents
- search for the participant identification code (PIC) of an organisation
- contact the FP7 support services and browse the FAQ for guidance on the Participant Portal tools

As a registered user, benefit from personalised services for proposal submission, negotiation, and project management.

[WHATS NEW](#)[FUNDING OPPORTUNITIES](#)[HOW TO PARTICIPATE?](#)[WORK AS AN EXPERT](#)[ACCESS MY PERSONAL AREA](#)[INFORMATION AND SUPPORT](#)



RESEARCH & INNOVATION

Participant Portal

BETA VERSION 4

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HORIZON 2020 CALLS

FUNDING GUIDE

Call: [Water innovation: boosting its value for Europe](#)

Topic: *Bridging the gap from innovative water solutions to market replication*

WATER 1 – 2014/2015

Topic Description

Topic Conditions

Call Documents

Submission Service

Topic Conditions

Eligibility conditions – The [standard eligibility conditions](#) apply. Please read carefully these provisions before the preparation of your application.

Evaluation criteria – The [standard evaluation criteria](#) apply. Please read carefully the provisions before the preparation of your application.

Evaluation procedure – Please read carefully the [standard evaluation procedure](#) provisions before the preparation of your application.

Proposal page limits and layout: **10 pages**

Applicants must ensure that proposals confirm to the **page limits and layout** given in the Guide for Applicants (see Call Documents) , and in the proposal part B template available through the electronic Submission Services of the Commission.

The Commission will instruct experts to disregard any pages exceeding these limits.

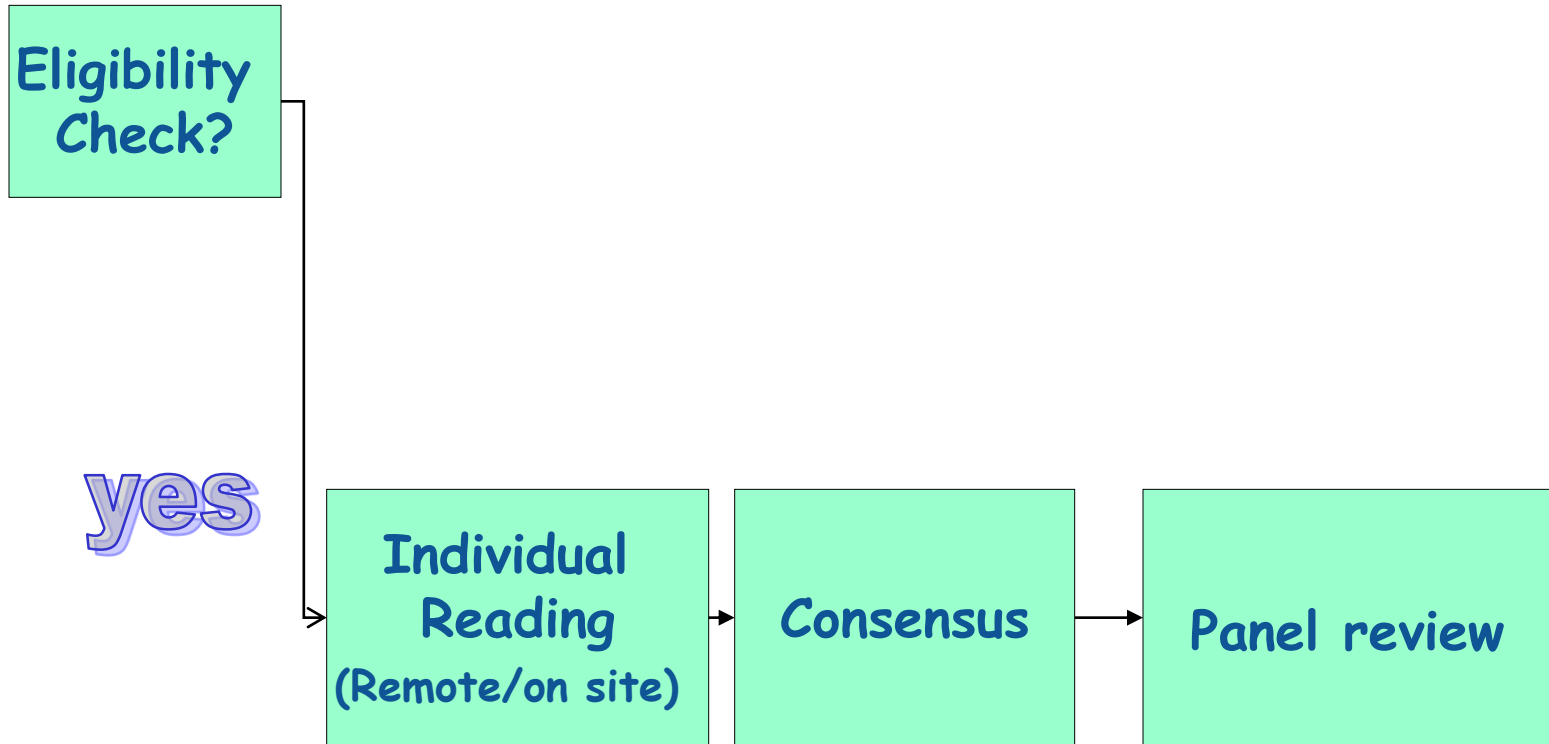
The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 15mm (not including any footers or headers).

Topic	Evaluation results (single or first stage)	Evaluation results (second stage)	Indicative date for signing of grant agreements
Water 1		Maximum 6 months after the deadline	Maximum 9 months after the deadline

Should the call publication be postponed, the dates in this table should be adjusted accordingly.

Consortia agreements: In line with the Rules for Participation and the Model Grant Agreement, participants in

Evaluation process



Evaluation Process

Chain of individual, consensus and panel review maintained. But changes w.r.t. FP7:

- New expert profiles, new blood;
- Robust rules on expert turnover;
- Multi-sectoral/multi-disciplinary proposals will need experts to match
- Clear procedures for cases where experts disagree
- Proposals strictly evaluated on their own merit
 - No recommendations for substantial changes!
- Fast and simplified procedures where time to market is essential (e.g. Innovation Actions)
- Single page limit: 'watermark' on excess pages after deadline – page to be disregarded!

Award criteria (Research and Innovation; Innovation Actions)

1. Excellence

- Clarity of the objectives;
- Soundness of the concept, including transdisciplinary considerations;
- Credibility of the proposed approach;
- Ambition, progress beyond the state of the art.

2. Impact: [...] contribution to:

- The expected impacts listed in the work programme
- Enhancing innovation capacity and integration of new knowledge;
- Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global markets;
- ...measures to, disseminate and exploit the project results,... communication.



3. Quality and efficiency of implementation

- Coherence and effectiveness of work plan, ... allocation of tasks, resources;
- Competences, experience and complementarity of the individual participants, as well as of the consortium as a whole;
- Appropriateness of the management structures and procedures....risk management.

Scoring/weights/thresholds

- As in FP7 each criterion scored out of 5; individual threshold of 3; overall threshold of 10
- Unlike FP7, for Innovation Actions and SME instrument...
 - Impact criterion weighted by factor of 1.5
 - 'Impact' score given priority over 'excellence' when scores equal (the reverse applies in other types of action).

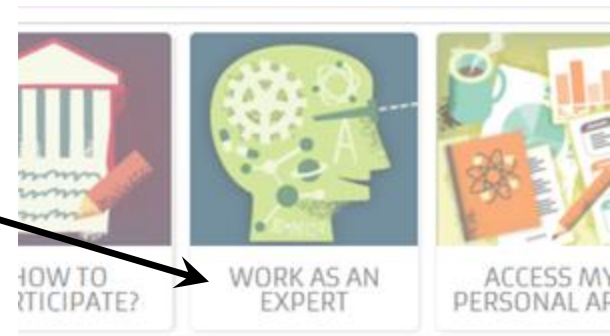
Selection of proposals

- All above threshold proposals in each topic are listed in descending order of overall score
- We select proposals starting from the top of the list, until the available budget is exhausted

Experts

Appropriately qualified individuals may apply to work as experts in H2020 evaluations

- *Application via Participant Portal*



Selection per call to ensure broad ranging and expert group; avoiding conflicts of interest

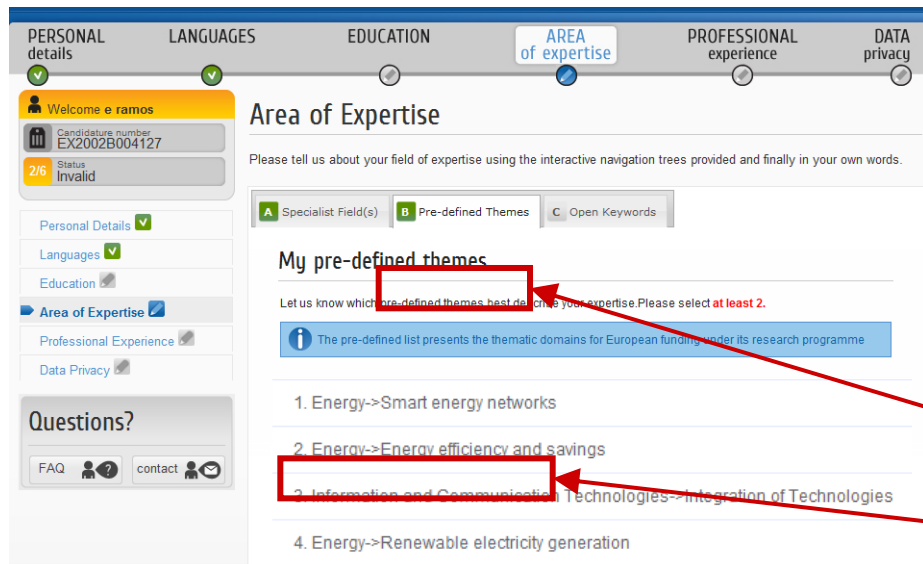
Call for experts published 22 November

- A new list of keywords for specialized themes
- Experts will be invited to declare their interest in working for H2020

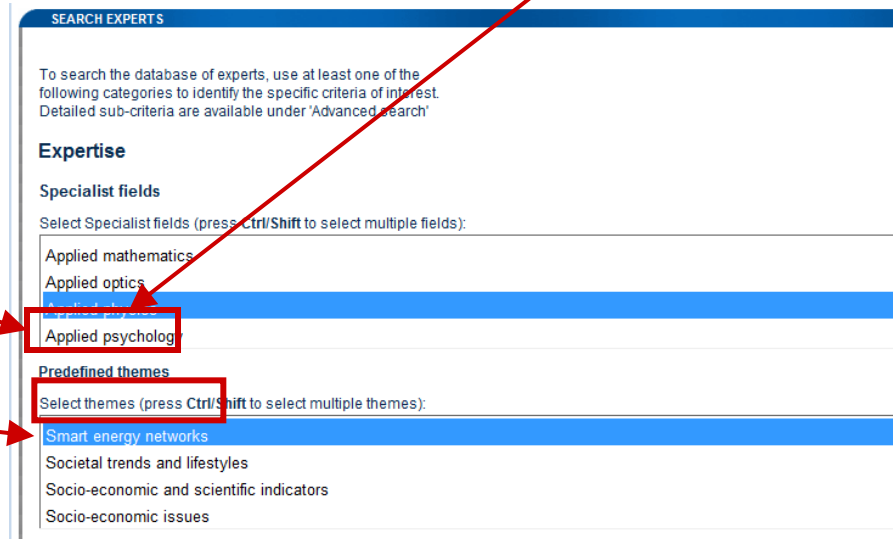
Important to remember !

- You should **regularly update your profile** to ensure that the **European Commission** can find the **best match of assignments** for you in the future.

Pay particular attention to the new list of keywords defining your specialist themes!!



The screenshot shows the 'Area of Expertise' section of a user profile. The navigation bar includes 'PERSONAL details', 'LANGUAGES', 'EDUCATION', 'AREA of expertise' (active), 'PROFESSIONAL experience', and 'DATA privacy'. The user is 'e ramos' with candidature number 'EX2002B004127' and status 'Invalid'. The 'Area of Expertise' section has three tabs: 'A Specialist Field(s)', 'B Pre-defined Themes', and 'C Open Keywords'. Under 'My pre-defined themes', there is a list of four themes: '1. Energy->Smart energy networks', '2. Energy->Energy efficiency and savings', '3. Information and Communication Technologies->Integration of Technologies', and '4. Energy->Renewable electricity generation'. Red boxes highlight the 'Pre-defined Themes' tab and the third theme, with red arrows pointing to the 'SEARCH EXPERTS' page.



The screenshot shows the 'SEARCH EXPERTS' page. It includes instructions: 'To search the database of experts, use at least one of the following categories to identify the specific criteria of interest. Detailed sub-criteria are available under 'Advanced search''. There are two main sections: 'Expertise' and 'Specialist fields'. Under 'Expertise', there is a list of 'Specialist fields' (Applied mathematics, Applied optics, Applied psychology) and 'Predefined themes' (Smart energy networks, Societal trends and lifestyles, Socio-economic and scientific indicators, Socio-economic issues). Red boxes highlight 'Applied psychology' and 'Smart energy networks', with red arrows pointing to the 'Area of Expertise' page.

Where can I find this ?

On the Participant Portal, go to the "Experts" tab.

<http://ec.europa.eu/research/participants/portal/page/home>

Thank you for your attention!

Questions? Contact the Research Enquiry Service
<http://ec.europa.eu/research/index.cfm?pg=enquiries>

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